

GP 2131 #2 85

Please type and sign (+) inside this box →



PTO/SB/21 (08-00) 11.27.02

Approval for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/067,446
	<b>Filing Date</b>	February 4, 2002
	<b>First Named Inventor</b>	Medvinsky
	<b>Group Art Unit</b>	2131
	<b>Examiner Name</b>	TBD
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> 70639

RECEIVED  
NOV 15 2002  
Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form (in duplicate)  <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Form PTO-1449 (1 page) One Reference Return Receipt Postcard
<input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Individual name	Steven M. Freeland, Reg. No. 42,555
Signature	
Date	November 5, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:			
November 5, 2002			
Typed or printed name	Steven M. Freeland		
Signature		Date	November 5, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231.



Docket No. 70639

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Medvinsky  
Application No.: 10/067,446  
Filed: February 4, 2002  
Title: **SYSTEM AND METHOD FOR PROVIDING  
KEY MANAGEMENT PROTOCOL WITH  
CLIENT VERIFICATION OF AUTHORIZATION**  
Group Art Unit: 2131  
Examiner: TBD

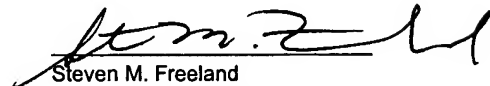
I hereby certify that this paper is being deposited with  
the United States Postal Service as first class mail in  
an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on this date.

11/05/02

Date

  
Steven M. Freeland  
Registration No. 42,555  
Attorney for Applicant(s)

**RECEIVED**

NOV 15 2002

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last).
- ☐ Under 37 CFR §1.97(c) together with either:  
☐ a statement under 37 CFR §1.97(e), or  
☐ a fee under 37 CFR §1.17(p)  
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).
- ☐ Under 37 CFR §1.97(d) together with:  
☐ a statement under 37 CFR §1.97(e), and  
☐ a fee under 37 CFR §1.17(p)  
(after the 37 CFR §1.97(c) time period, but on or before payment of the issue fee).

IDS  
Application No. 10/067,446  
Page 2

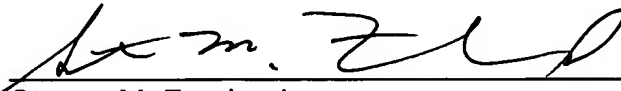
RECEIVED  
NOV 15 2002  
Technology Center 2100

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with One (1) reference listed as item AA.

It is requested that the information provided herein be made of record in this application.

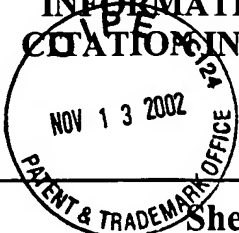
Respectfully submitted,

Dated: November 5, 2002

  
Steven M. Freeland  
Attorney for Applicant(s)  
Reg. No. 42,555  
(858) 552-1311

**Address all Correspondence to:**

FITCH, EVEN, TABIN & FLANNERY  
120 So. LaSalle Street  
Suite 1600  
Chicago, IL 60603  
(858) 552-1311

Form PTO-1449 (Modified)  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  	Application No.	10/067,446
	Filing Date	February 4, 2002
	First Named Inventor	Medvinsky
	Group Art Unit	2131
	Examiner Name	TBD
Sheet 1 of 1	Attorney Docket No.	70639

RECEIVED  
NOV 15 2002  
Technology Center 2100

U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.
	AA		NEUMAN, C., et al.; The Kerberos Network Authentication Service (v5); Internet Draft; May 24, 2001; Status, Abstract, Overview, Background, Sections 1-1.5 and 5-5.9.1; draft-ietf-cat-kerberos-revisions-07.txt.

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			